



Docket No.: 15685P027

2681

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CRAIG H. BARRATT, ET AL.

Application No.: 09/676,888

Filed: September 29, 2000

For: **NON-DIRECTIONAL TRANSMITTING
FROM A WIRELESS DATABASE
STATION HAVING A SMART
ANTENNA SYSTEM**

#11
Art Group: 2681

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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The references were cited in a communication from a foreign patent office on October 18, 2002, in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated November 18, 2002.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

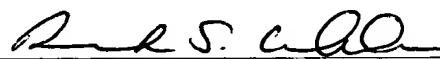
Date: February 13, 2003


Philip A. Pedigo, Reg. No. 52,107

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
(503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

February 13, 2003


Derek S. Watson

02-13-03

Date



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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | 09/676,888 |
| Filing Date | September 29, 2000 |
| First Named Inventor | Craig H. Barratt |
| Examiner Name | Not Yet Assigned |
| Group/Art Unit | 2681 |
| Attorney Docket No. | 15685p027 |

METHOD OF PAYMENT (check one)

 Check Credit card Money Order Other None
 Deposit Account
Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Paid |
|--------------|----------|--------------|----------|------------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1001 | 750 | 2001 | 375 | Utility filing fee |
| 1002 | 330 | 2002 | 165 | Design filing fee |
| 1003 | 520 | 2003 | 260 | Plant filing fee |
| 1004 | 750 | 2004 | 375 | Reissue filing fee |
| 1005 | 160 | 2005 | 80 | Provisional filing fee |
| SUBTOTAL (1) | | (\$) | | |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------------|---------------------------------|-------------------------------------------------------|----------|
| <input type="checkbox"/> | 20** = <input type="checkbox"/> | X <input type="checkbox"/> = <input type="checkbox"/> | |
| <input type="checkbox"/> | 3 = <input type="checkbox"/> | X <input type="checkbox"/> = <input type="checkbox"/> | |
| Independent Claims | | | |
| Multiple Dependent | | | |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|-----------------------------------------------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple Dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$) | | |

**or number previously paid, if greater. For Reissues, see below

3. ADDITIONAL FEES

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|---------------------|----------|----------|--------------|----------------------------------------------------------------------------|----------|-----------------|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| 2053 | 130 | 2053 | 130 | Non-English specification | | | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | | | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | | | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | | | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | | | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | | | |
| 1404 | 320 | 2401 | 160 | Notice of Appeal | | | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | | | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | | | |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | | | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | | | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | | | |
| 1502 | 470 | 2502 | 235 | Design issue fee | | | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | | | |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | | | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| 1809 | 750 | 1809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | | | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | | |
| Other fee (specify) | | | | | | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

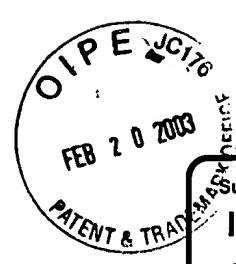
SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Philip A. Pedigo | Registration No. (Attorney/Agent) | 52,107 | Telephone | (503) 684-6200 |
| Signature | Philip A. Pedigo | | | Date | 02/13/03 |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----|---|---------------------------------|--------------------|
| <p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE</p> <p>STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> | | | | <p>Complete if Known</p> | |
| | | | | Application Number | 09/676,888 |
| | | | | Filing Date | September 29, 2000 |
| | | | | First Named Inventor | Craig H. Barratt |
| | | | | Art Unit | 2681 |
| | | | | Examiner Name | Not Yet Assigned |
| | | | | Attorney Docket Number | 15685P027 |
| Sheet | 1 | of | 2 | | |

U.S. PATENT DOCUMENTS

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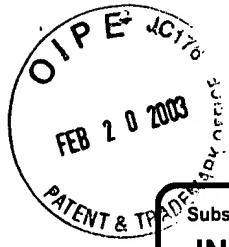
FOREIGN PATENT DOCUMENTS

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

PATENT AND TRADEMARK OFFICE: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 2 of 2

| <i>Complete if Known</i> | |
|--------------------------|--------------------|
| Application Number | 09/676,888 |
| Filing Date | September 29, 2000 |
| First Named Inventor | Craig H. Barratt |
| Art Unit | 2681 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 15685P027 |

OTHER ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No' | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| 2 | | European Examination Report dated 10/18/02 | |
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| Examiner Signature | Date Considered |
|-----------------------|--------------------|

***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

***Applicant's unique citation designation number. *Applicant is to place a check mark here if English language Translation is attached.**

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